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## QUESTION 1

Which of the following US Acts emphasized a "risk-based policy for cost-effective security" and makes mandatory for agency program officials, chief information officers, and inspectors general (IGs) to conduct annual reviews of the agency's information security program and report the results to Office of Management and Budget?

- A. Federal Information Security Management Act of 2002 (FISMA)
- B. The Electronic Communications Privacy Act of 1986 (ECPA)
- C. The Equal Credit Opportunity Act (ECOA)
- D. The Fair Credit Reporting Act (FCRA)

Correct Answer: A

The Federal Information Security Management Act of 2002 ("FISMA", 44 U.S.C. 3541, et seq.) is a United States federal law enacted in 2002 as Title III of the E-Government Act of 2002 (Pub.L. 107-347, 116 Stat. 2899). The act recognized the importance of information security to the economic and national security interests of the United States. The act requires each federal agency to develop, document, and implement an agency-wide program to provide information security for the information and information systems that support the operations and assets of the agency, including those provided or managed by another agency, contractor, or other source. FISMA has brought attention within the federal government to cybersecurity and explicitly emphasized a "risk-based policy for cost-effective security". FISMA requires agency program officials, chief information officers, and inspectors general (IGs) to conduct annual reviews of the agency's information security program and report the results to Office of Management and Budget (OMB). OMB uses this data to assist in its oversight responsibilities and to prepare this annual report to Congress on agency compliance with the act. Answer: C is incorrect. The Equal Credit Opportunity Act (ECOA) is a United States law (codified at 15 U.S.C. 1691 et seq.), enacted in 1974, that makes it unlawful for any creditor to discriminate against any applicant, with respect to any aspect of a credit transaction, on the basis of race, color, religion, national origin, sex, marital status, or age; to the fact that all or part of the applicant's income derives from a public assistance program; or to the fact that the applicant has in good faith exercised any right under the Consumer Credit Protection Act. The law applies to any person who, in the ordinary course of business, regularly participates in a credit decision, including banks, retailers, bankcard companies, finance companies, and credit unions. Answer: B is incorrect. The Electronic Communications Privacy Act of 1986 (ECPA Pub. L. 99-508, Oct. 21, 1986, 100 Stat. 1848, 18 U.S.C. 2510) was enacted by the United States Congress to extend government restrictions on wire taps from telephone calls to include transmissions of electronic data by computer. Specifically, ECPA was an amendment to Title III of the Omnibus Crime Control and Safe Streets Act of 1968 (the Wiretap Statute), which was primarily designed to prevent unauthorized government access to private electronic communications. The ECPA also added new provisions prohibiting access to stored electronic communications, i.e., the Stored Communications Act, 18 U.S.C. 2701-2712. Answer: D is incorrect. The Fair Credit Reporting Act (FCRA) is an American federal law (codified at 15 U.S.C. 1681 et seq.) that regulates the collection, dissemination, and use of consumer information, including consumer credit information. Along with the Fair Debt Collection Practices Act (FDCPA), it forms the base of consumer credit rights in the United States. It was originally passed in 1970, and is enforced by the US Federal Trade Commission.

## QUESTION 2

Which of the following actions does the Data Loss Prevention (DLP) technology take when an agent detects a policy violation for data of all states? Each correct answer represents a complete solution. Choose all that apply.

- A. It creates an alert.
- B. It quarantines the file to a secure location.



- C. It reconstructs the session.
- D. It blocks the transmission of content.

Correct Answer: ABD

When an agent detects a policy violation for data of all states, the Data Loss prevention (DLP) technology takes one of the following actions: It creates an alert. It notifies an administrator of a violation. It quarantines the file to a secure location. It encrypts the file. It blocks the transmission of content. Answer: C is incorrect. Data Loss Prevention (DLP) reconstructs the session when data is in motion.

### QUESTION 3

Which of the following models manages the software development process if the developers are limited to go back only one stage to rework?

- A. Waterfall model
- B. Spiral model
- C. RAD model
- D. Prototyping model

Correct Answer: A

In the waterfall model, software development can be managed if the developers are limited to go back only one stage to rework. If this limitation is not imposed mainly on a large project with several team members, then any developer can be working on any phase at any time, and the required rework might be accomplished several times. Answer: B is incorrect. The spiral model is a software development process combining elements of both design and prototyping-in-stages, in an effort to combine advantages of top-down and bottom-up concepts. The basic principles of the spiral model are as follows: The focus is on risk assessment and minimizing project risks by breaking a project into smaller segments and providing more ease-of-change during the development process, as well as providing the opportunity to evaluate risks and weigh consideration of project continuation throughout the life cycle. Each cycle involves a progression through the same sequence of steps, for each portion of the product and for each of its levels of elaboration, from an overall concept-of-operation document down to the coding of each individual program. Each trip around the spiral traverses the following four basic quadrants: Determine objectives, alternatives, and constraints of the iteration. Evaluate alternatives, and identify and resolve risks. Develop and verify deliverables from the iteration. Plan the next iteration. Begin each cycle with an identification of stakeholders and their win conditions, and end each cycle with review and commitment. Answer: D is incorrect. The Prototyping model is a systems development method (SDM). In this model, a prototype is created, tested, and then reworked as necessary until an adequate prototype is finally achieved from which the complete system or product can now be developed. Answer: C is incorrect. Rapid Application Development (RAD) refers to a type of software development methodology that uses minimal planning in favor of rapid prototyping.

### QUESTION 4

Which of the following classification levels defines the information that, if disclosed to the unauthorized parties, could be reasonably expected to cause exceptionally grave damage to the national security?

- A. Secret information
- B. Unclassified information



C. Confidential information

D. Top Secret information

Correct Answer: D

Top Secret information is the highest level of classification of material on a national level. Such material would cause "exceptionally grave damage" to national security if publicly available. Answer: A is incorrect. Secret information is that, if disclosed to unauthorized parties, could be expected to cause serious damage to the national security, but it is not the best answer for the above question. Answer: C is incorrect. Such material would cause "damage" or be "prejudicial" to national security if publicly available. Answer: B is incorrect. Unclassified information, technically, is not a classification level, but is used for government documents that do not have a classification listed above. Such documents can sometimes be viewed by those without security clearance.

## QUESTION 5

Which of the following DITSCAP phases validates that the preceding work has produced an IS that operates in a specified computing environment?

A. Phase 2

B. Phase 4

C. Phase 1

D. Phase 3

Correct Answer: D

The Phase 3 of DITSCAP CandA is known as Validation. The goal of Phase 3 is to validate that the preceding work has produced an IS that operates in a specified computing environment. Answer: C is incorrect. The goal of this phase is to define the CandA level of effort, identify the main CandA roles and responsibilities, and create an agreement on the method for implementing the security requirements. Answer: A is incorrect. The goal of this phase is to obtain a fully integrated system for certification testing and accreditation. Answer: B is incorrect. This phase ensures that it will maintain an acceptable level of residual risk.

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