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QUESTION 1

A bond whose coupon rate increases at pre-specified dates and at a pre-specified interest rate is known as:

- A. A floating rate note
- B. A convertible bond
- C. A mortgage-backed security
- D. A step-up bond

Correct Answer: D

QUESTION 2

'Sub-custodian' is a term that is applicable to:

- A. International central securities depositories
- B. Global custodians
- C. Local custodians
- D. National central securities depositories

Correct Answer: B

QUESTION 3

The 3 largest centres for foreign exchange trading are:

- A. US, 2) Japan, 3) UK
- B. Hong Kong, 2) UK, 3) US
- C. US, 2) Singapore, 3) UK
- D. UK, 2) US, 3) Singapore

Correct Answer: D

QUESTION 4

The market in which debt is issued over the long-term describes:

- A. The money market
- B. The foreign exchange market



C. The capital market

D. The equity market

Correct Answer: C

QUESTION 5

An example of an organisation classified as a sovereign wealth fund is:

A. United Nations

B. Xerox Corporation

C. German Government

D. China Investment Corporation

Correct Answer: D

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