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QUESTION 1

The following is an inaccurate statement:

- A. Warrants have a variable exercise price
- B. Warrants are exercisable by the warrant holder
- C. Warrants will expire if not exercised by the expiry date
- D. Warrants are detachable from the original security

Correct Answer: A

QUESTION 2

A firm executing a securities trade in the capacity of agency broker intends:

- A. To take a securities position, and to remain market risk neutral
- B. Not to take a securities position, and not to remain market risk neutral
- C. Not to take a securities position, and to remain market risk neutral
- D. To take a securities position, and not to remain market risk neutral

Correct Answer: A

QUESTION 3

An example of an organisation classified as a sovereign wealth fund is:

- A. United Nations
- B. Xerox Corporation
- C. German Government
- D. China Investment Corporation

Correct Answer: D

QUESTION 4

The 3 largest centres for foreign exchange trading are:

- A. US, 2) Japan, 3) UK
- B. Hong Kong, 2) UK, 3) US



C. US, 2) Singapore, 3) UK

D. UK, 2) US, 3) Singapore

Correct Answer: D

QUESTION 5

The ISO currency code \\CHF represents:

A. Ivory Coast Franc

B. Chinese Renminbi

C. Swiss France

D. Norwegian Krone

Correct Answer: C

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