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QUESTION 1

The 'settlement cycle' within a securities market refers to the number of days:

- A. Between a trade's trade date and the actual settlement date of a trade
- B. Between a security's issue date and the value date of a trade
- C. Between a trade's trade date and its value date
- D. Between the trade date of a trade and the issue date of a security

Correct Answer: C

QUESTION 2

The divisors applicable to the calculation of bank interest are:

- A. EUR = 360, USD = 360, GBP = 365
- B. EUR = 365, USD = 360, GBP = 365
- C. EUR = 365, USD = 365, GBP = 360
- D. EUR = 360, USD = 365, GBP = 360

Correct Answer: A

QUESTION 3

The number of days of accrued interest, and the cash value of accrued interest - on a trade of GBP 30,500,000 Barclays Bank GBP Floating Rate Notes November 2028, paying interest quarterly - the current coupon rate is 3.7162% for the period 21st November to 21st February, trade date 3rd February, value date 5th February, is:

- A. 45 days and GBP 139,739.30
- B. 76 days and GBP 236,004.15
- C. 107 days and GBP 336,883.85
- D. 45 days and GBP 141,680.12

Correct Answer: B

QUESTION 4

FTM Levy is charged:

- A. On US equity trades -where the gross consideration is in excess of USD 10,000.00



- B. On UK equity trades where the gross consideration is GBP 10,000.00 and above
- C. On US equity trades where the gross consideration is USD 10,000.00 and below
- D. On UK equity trades where the gross consideration is in excess of GBP 10,000.00

Correct Answer: D

QUESTION 5

The Clearstream Group includes:

- A. The ICSD \\Clearstream Banking Paris\\ and the NSCD \\Clearstream Banking Munich\\
- B. The ICSD \\Clearstream Banking Brussels\\ and the NSCD \\Clearstream Banking Berlin\\
- C. The ICSD \\Clearstream Banking Luxembourg\\ and the NSCD \\Clearstream Banking Frankfurt\\
- D. The ICSD \\Clearstream Banking Amsterdam\\ and the NSCD \\Clearstream Banking Luxembourg\\

Correct Answer: C

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