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QUESTION 1

The price of a bond trade which excludes accrued interest is known as:

- A. A dirty price
- B. A fresh price
- C. A clean price
- D. A cloudy price

Correct Answer: C

QUESTION 2

The calculation of profit and loss for securities includes:

- A. Realised (potential) PandL and Unrealised (actual) PandL
- B. Realised (projected) PandL and Unrealised (authentic) PandL
- C. Realised (predicted) PandL and Unrealised (likely) PandL
- D. Realised (actual) PandL and Unrealised (potential) PandL

Correct Answer: D

QUESTION 3

A firm executing a securities trade in the capacity of agency broker intends:

- A. To take a securities position, and to remain market risk neutral
- B. Not to take a securities position, and not to remain market risk neutral
- C. Not to take a securities position, and to remain market risk neutral
- D. To take a securities position, and not to remain market risk neutral

Correct Answer: A

QUESTION 4

'Sub-custodian' is a term that is applicable to:

- A. International central securities depositories
- B. Global custodians



C. Local custodians

D. National central securities depositories

Correct Answer: B

QUESTION 5

IPO\ stands for:

A. International Private Offering

B. Inclusive Pre Offering

C. Initial Public Offering

D. Integrated Post Offering

Correct Answer: C

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