



Securities Operations Foundation Qualification (SOFQ)

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## **QUESTION 1**

The physical substances of natural gas/ barley and zinc fall within the following financial heading:

- A. Cash
- **B.** Derivatives
- C. Commodities
- D. Securities

Correct Answer: C

### **QUESTION 2**

IPO\\' stands for:

- A. International Private Offering
- B. Inclusive Pre Offering
- C. Initial Public Offering
- D. Integrated Post Offering

Correct Answer: C

### **QUESTION 3**

A bond which pays interest semi-annually quotes its interest rate:

- A. Relative to a period of 6 months
- B. Relative to a period of 24 months
- C. Relative to a period of 12 months
- D. Relative to a period of 3 months

Correct Answer: C

#### **QUESTION 4**

The following are examples of money market instruments:

- A. Zero coupon bonds and Floating-rate notes
- B. Convertible bonds and Mortgage-Backed Securities



- C. Fixed-rate bonds and Step-up bonds
- D. Commercial Paper and Certificate of Deposit

Correct Answer: D

## **QUESTION 5**

\\'ISO\\' stands for:

- A. Invention of Standards for Organisations
- B. Intercontinental Symposium of Organisations
- C. International Organisation for Standardisation
- D. Intraterritorial Organisation of Securities
- Correct Answer: C

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